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Sheet	1	of	2
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**Complete if Known**

Application Number	10/696,401
Filing Date	OCTOBER 29, 2003
First Named Inventor	VLADIMIR GRUSHIN
Group Art Unit	2811.2813
Examiner Name	UNKNOWN KIEL M
Attorney Docket Number	PE0549USDIV4

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.†	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>0</sub>
		CountryCode <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
ER		EP 1 191 614 A2	03-27-2002	Canon Kabushiki Kaisha		<input type="checkbox"/>
ca		WO 00/57676 A1	09-28-2000	Univ. of Southern California		<input type="checkbox"/>
ER		WO 01/41512 A1	06-07-2001	Princeton Univ & University of Southern California		<input type="checkbox"/>
ER		WO 02/02714 A2	01-10-2002	DuPont		<input type="checkbox"/>
ER		WO 02/15645 A1	02-21-2002	Princeton Univ, Univ of So Cal & Universal Display		<input type="checkbox"/>
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						<input type="checkbox"/>

Examiner  
Signature

Date Considered

6/26/2005

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/696,401
		Filing Date	October 29, 2003
		First Named Inventor	VLADIMIR GRUSHIN ET. AL.
		Group Art Unit	2811 2813
		Examiner Name	UNKNOWN KIELIN
Sheet 2	of 2	Attorney Docket Number	PE0649USDIV4

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		LAMANSKY, SERGEY ET AL., Highly Phosphorescent Bis-Cyclometalated Iridium Complexes: Synthesis, Photophysical Characterization, and Use in Organic Light Emitting Diodes, J. Am. Chem. Soc., 2001, 4304-4312, 123, American Chemical Society	<input type="checkbox"/>
		LAMANSKY, SERGEY ET AL., Synthesis and Characterization of Phosphorescent Cyclometalated Iridium Complexes, Inorg. Chem. 2001, 40, 1704-1711, 40, American Chemical Society	<input type="checkbox"/>
		LAMANSKY, SERGEY ET AL., Molecularly doped polymer light emitting diodes utilizing phosphorescent Pt(II) and Ir(III) dopants, Organic Electronics, 2001, 53-62, 2, Elsevier Science B.V.	<input type="checkbox"/>
Ek		ABSTRACT OF JAPANESE PCT Publication WO02/44189 A1, Luminescent Element and Display, 06-06-2002, Canon Kabushiki Kaisha	<input type="checkbox"/>
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Examiner Signature		Date Considered	6/26/2005
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**Complete if Known**

Application Number	10/027,421
Filing Date	DECEMBER 20, 2001
First Named Inventor	VLADIMIR GRUSHIN ET AL.
Group Art Unit	<del>2015-2813</del>
Examiner Name	UNKNOWN KIELIN
Attorney Docket Number	PE0649 US CIP

*(use as many sheets as necessary)*

Sheet	1	of	2
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(use as many sheets as necessary)

Sheet	1
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of 2

Application Number	10/027.421
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Filing Date	DECEMBER 20, 2001
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First Named Inventor	VLADIMIR GRUSHIN ET AL.
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Group Art Unit	<del>2815</del> 2813
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Examiner Name	<del>UNKNOWN</del> KIELIN
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

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First Named Inventor	VLADIMIR GRUSHIN ET AL.
Group Art Unit	2815-2813
Examiner Name	UNKNOWN KIELIN
Attorney Docket Number	10/027,421

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

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EK		DJUROVICH, PETER I. ET AL., Ir(III) Cyclometalated Complexes As Efficient Phosphorescent Emitters in Polymer Blend and Organic LEDs, Polymer Preprints, 2000, 770-771, 41(1)	<input type="checkbox"/>
EK		CHATANI, NAOTO ET AL., Ru3(CO)12-Catalyzed Reaction of Pyridylbenzenes with Carbon Monoxide and Olefins. Carbonylation at a C-H Bond in the Benzene Ring, J. Org. Chem., 1997, 2604-2610, 62, American Chemical Society	<input type="checkbox"/>
EK		GOSMINI, CORINNE ET AL., Electrosynthesis of functionalized 2-arylpyridines from functionalized aryl and pyridine halides catalyzed by nickel bromide 2,2'-bipyridine complex, Tetrahedron Letters, 2000, 5039-5042, 41, Elsevier Science Ltd.	<input type="checkbox"/>
EK		CACCHI, SANDRO ET AL., The Palladium-Catalyzed Transfer Hydrogenation/Heterocyclization of B-(2-Aminophenyl)-a,B-ynones. An Approach to 2-Aryl- and 2-Vinylquinolines, Synlett, 1999, 401-404, No. 4, Thieme Stuttgart, New York	<input type="checkbox"/>
EK		BALDO, M. A. ET AL., Very high-efficiency green organic light-emitting devices based on electrophosphorescence, Applied Physics Letters, July 5, 1999, 4-6, 75(1) American Institute of Physics	<input type="checkbox"/>
EK		BALDO, M. A. ET AL., High-efficiency fluorescent organic light-emitting devices using a phosphorescent sensitizer, Nature, February 17, 2000, 750-753, 403, Macmillan Magazines Ltd.	<input type="checkbox"/>
EK		WANG, YUE ET AL., (Hydroxyphenyl)pyridine derivative, its metal complexes and application as electroluminescence material, Chemical Abstracts Service, March 1, 2000, Database No. 133:315395	<input type="checkbox"/>
EK		DEDEIAN K. ET AL., A New Synthetic Route to the Preparation of a Series of Strong Photoreducing Agents: fac Tris-Ortho-Metalated Complexes of Iridium(III) with Substituted 2-Phenylpyridines, Inorg. Chem., 1991, 1685-1687, 30(8), American Chemical Society	<input type="checkbox"/>
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DUPLICATE		BALDO, M.A. et al., High-efficiency fluorescent organic light-emitting devices using a phosphorescent sensitizer, Nature, February 17, 2000, 760-763, Vol. 403	
DUPLICATES		DJUROVICH, PETER I. et al., Ir(III) Cyclometalated Complexes as Efficient Phosphorescent Emitters in Polymer Blend and Organic LEDs, Polymer Reprints, 2000, 770-771, 41(1)	
DUPLICATE		BALDO, M.A. et al., Very high-efficiency green organic light-emitting devices based on electrophorescence, Applied Physics Letters, July 5, 1999, 4-6, 75(1), American Institute of Physics	
EX		LOHSE, OLIVIER, et al., The Palladium Catalysed Suzuki Coupling of 2- and 4-Chloropyridines, Synlett, 1999, 45-48, No. 1, Thieme Stuttgart, New York	
EX		BALDO, M.A. et al., Highly efficient phosphorescent emission from organic electroluminescent devices, Nature, September 10, 1998, 151-154, Vol 395	
DUPLICATE		DEDEIAN, K. et al, A New Synthetic Route to the Preparation of a Series of Strong Photoreducing Agents: fac-Tris-Cyano-Metalated Complexes of Iridium(III) with Substituted 2-Phenylpyridines, Inorganic Chemistry, 1991, 1685-1687, 30(8)	

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